

Richard H. Nichol
8 Keelers Ridge Road
Wilton, Ct. 06897
richnichol@optonline.net
203-856-9272

January 2021

Profile:

I have been a Wilton resident for over 26 years and raised two children through its school system.

My career in finance started in the mid seventies in inter-bank foreign exchange trading and expanded over the years into fixed income markets and their derivatives. My experience in the financial markets covered credit risk, market risk and enterprise/operational risk issues. I was fortunate to participate in the development and intergration of many financial products over the last 40 years.

With retirement, I decided to mentor youth which brought me into the Bridgeport school system and subsequently to the ABC program, A Better Chance, in Wilton.

Community Engagement:

2014 to present

Board Member ABC, A Better Chance, Wilton

Educating students of color from underserved communities at Wilton High School and guiding them into college.

Co-President 2018-2020

2014 to present

Mentor to middle school students in Bridgeport: schools such as Walterville, Park City Prep and New Beginnings Family Academy. Was sponsored by the Bridgeport School Volunteer Association.

Professional Work Experience:

2013- present

Arbitrator for FINRA-Financial Industry Regulatory Authority, the dispute resolution service organization for the securities industry. Am an expert witness.

2007- 2013

Giuffre Associates

Managing Partner

Corporate Risk transfer solutions and product development. We developed new risk solutions for corporations that fused characteristics of insurance and capital markets.

2005-2007

FIMAT (wholly owned subsidiary of Societe Generale Bank)

Director of Derivative Sales

2000-2005

HSBC Bank NYC, NY

Senior Vice President

Institutional Fixed Income Sales

1982- 2000

Paine Webber NYC, NY

Senior Vice President

Institutional Fixed Income Sales